COUNTY OF NASSAU

LOBBYIST PERIODIC REPORT FORM

1. Name, address and telephone number of lobbyist(s)/lobbying organization as it appears on Lobbyist Registration and Disclosure Form:

Peter Weiss, Managing Director, 383 Madison Ave., Fl. 3, New York NY 212-272-2297 Kevin Plunkett, Executive Director, 383 Madison Ave., Fl. 3, New York NY, 212-270-7036

Jaleni Thompson, Associate, 383 Madison Ave., Fl. 3, New York NY 212-622-1688 Steven Wendeborn, Analyst, 383 Madison Ave., Fl. 3, New York NY 212-272-2222 JT Knadler, Vice President, 383 Madison Ave., Fl. 3, New York NY 212-622-7000

2. Reporting Period: January 1 - March 31 2019

(January 1 to March 31; April 1 to May 31; June 1 to August 31; or September 1 to December 31)

(Note: for Sections 3 through 6 below, where a lobbyist is required to file this report, any such lobbyist that has not earned or incurred any compensation or expenses for the period shall make such a statement herein)

3. List below amounts for any compensation paid or owed to the lobbyist during the period for the purposes of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose.

Amount	Details
\$196	Paid by J.P. Morgan Securities to J.T. Knadler for administration of new and (con't)
	existing contracts with Nassau County
\$432	Paid by J.P. Morgan Securities to Kevin Plunkett for administration of new and (con't)
	existing contracts with Nassau County
\$1538.46	Paid by J.P. Morgan Securities to Jaleni Thompson for administration of new and (con't)
	existing contracts with Nassau County

4. List below the cumulative total amounts earned to date for lobbying year:

\$2,166.46

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5. List below amounts for any expenses expended or incurred by the lobbyist during the period for the purposes of lobbying. Such amounts shall be detailed as to amount, to whom paid and for what purpose.

Amount \$136.40	Details Printing Costs for pitch books
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6. List below the cumulative total amounts expended to date for lobbying year:

\$136.40

(In lieu of completing 7 through 10 below, you may attach a copy of your Lobbyist Registration and Disclosure Form, provided the information has not changed.)

7. List whether and where the lobbyist(s)/lobbying organization is registered as a lobbyist (e.g. Nassau County, New York State):

Peter E. Weiss is a registered lobbyist in New York State, New York City and Nassau County; Kevin Plunkett is a registered lobbyist in New York State, New York City and Nassau County; JT Knadler is a registered lobbyist in New York State and Nassau County; Jaleni Thompson is a registered lobbyist in New York State and Nassau County; Steven Wendeborn is a registered lobbyist in New York State, New York City and Nassau County.

8. Name, address and telephone number of client(s) by whom, or on whose behalf, the lobbyist is retained, employed or designated.

J.P. Morgan Securities LLC 383 Madison Avenue, Floor 3, New York, NY 10179 (212) 270-5906

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9. Describe lobbying activity conducted, or to be conducted, in Nassau County, and identify client(s) for each activity listed, during the Reporting Period.

Discussion on market update and refunding opportunities; update on rating for New York counties.

10. The name of persons, organizations or governmental entities before whom the lobbyist has lobbied during the period.

Nassau County Debt Staff- Steve Conkling and Phil Wasserman.

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I understand that copies of this form will be sent to the Nassau County Department of Information Technology ("IT") to be posted on the County's website.

I also understand that upon termination of retainer, employment or designation I must give written notice to the County Attorney within thirty (30) days of termination.

VERIFICATION: I certify that all statements made on this statement are true, correct and complete to the best of my knowledge and belief and I understand that the willful making of any false statement of material fact herein will subject me to the provisions of law relevant to the making and filing of false instruments and will render such statement null and void.

Dated: <u>April 1120</u>9

Signed:

Print Name:

Title:

Managing Director

Peter E. Weiss

STATE OF NEW YORK NOW JOUK_ SS: COUNTY OF NASSAU it Sworn to before me this [] Day of APRIL . 20 / 3 xelity

NOTARY PUBLIC

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